

02/12/01
1040 US
PRO

02-13-01

Attorney Docket No. 0980/62251-C

Total Pages 3

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A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Shih-Yuan Wang
Inventor(s)

for

MICROSTRUCTURED OPTICAL FIBER
TRANSFORMER ELEMENT AND METHOD OF FABRICATION
Title of Invention

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APPLICATION ELEMENTS ENCLOSED:

1. Specification (total pages 26 including:
 - a. 8 pages of claims (40 claims)
 - b. 1 page(s) Abstract
- 2 9 sheets of informal formal drawings (Figs. 1-9B)
- 3 Oath or declaration of Applicant(s) (2 total pages)
 - a. _____ Newly executed (original)
 - b. Unexecuted
 - c. _____ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with item 14 completed)
4. Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)

APPLICATION PARTS ENCLOSED:

7. An assignment of the invention to _____ (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement (*when there is an assignee*)
9. Power of Attorney
 - a. _____ Newly executed (original)
 - b. Unexecuted
 - c. _____ Copy from a prior application

10. Information Disclosure Statement (IDS)/PTO-1449

Copies of IDS Citations

11. A Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)

13. Applicants hereby establish small entity status under 37 C.F.R. §1.9 and §1.27

14. If a Continuing Application, check the appropriate box and supply the requisite information:

Continuation of prior application No.: _____ filed _____

Divisional of prior application No.: _____ filed _____

Continuation-in-part (CIP) of prior application No.: 09/591,474 filed June 9, 2000

15. Amend the specification by inserting before the first line of page one: --This is a continuation
divisional of application Serial No. _____ filed _____

16. Priority of application No. _____ filed in _____ on _____. Applicant hereby claims
priority under 35 U.S.C. § 119.

A certified copy of priority application No. _____ is enclosed

A certified copy of priority application No. _____ has been filed in prior application
S.N. _____ filed _____

17. Other (identify) _____

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT.

	Number Filed	Number Extra*	Rate			Fee			
			Small Entity	Other Entity		Small Entity	Other Entity		
Total Claims	40 - 20	=	20	x	\$ 9	\$ 18	=	\$ 180	\$ 0
Indep. Claims	5 - 3	=	1	x	\$ 40	\$ 80	=	\$ 40	\$ 0
Multiple Dependent Claims Presented: Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>			\$135	\$270	=	\$ 0		\$ 0	
If the difference in column 1 is less than zero, enter "0" in column 2			Basic Fee			\$ 355	\$ 710		
			Total Fee			\$ 575	\$		

18. A check in the amount of \$ 575.00 to cover the filing fee.

19. A check in the amount of \$ _____ for recordation of the Assignment.

20. The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.

Filing fees under 37 C.F.R. § 1.16.

Patent application processing fees under 37 C.F.R. §1.17.

The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).

21. Three copies of this sheet are enclosed.

22. Other (identify) _____

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Respectfully submitted,



February 12, 2001
Date

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Shih-Yuan Wang

Serial No. : Group Art Unit:

Date Filed : Concurrently Herewith Examiner:

For : MICROSTRUCTURED OPTICAL FIBER TRANSFORMER
ELEMENT AND METHOD OF FABRICATION

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Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

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Date of Deposit: February 12, 2001

I hereby certify that the above-identified application (consisting of a 26-page specification (including 8 pages of claims (40 claims) and a 1 page Abstract), and 9 sheets of formal drawings (Figs. 1-9B)), 3 copies of an Application Transmittal Form, unexecuted Declaration and Power of Attorney, and a check for the \$575 small entity filing fee, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Ivan S. Kavrukov
Mail Room Employee
Depositing Express Mail Material

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